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| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | Attorney Docket No.: D/99531 | | Total Pages: 3 | |
| | | First Named Inventor or Application Identifier | | | |
| | | Shadi L. Malhotra | | | |
| | | Express Mail Label No.: | | EL261156418 | |

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| APPLICATION ELEMENTS See MPEP Chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
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| | |
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| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification (incl. claims) (Total Pages: 53) 3. <input type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets:) <input type="checkbox"/> Informal <input type="checkbox"/> Formal 4. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages: 2) a. <input checked="" type="checkbox"/> Newly executed <input type="checkbox"/> Unexecuted (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] <input type="checkbox"/> i. DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (Identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies <div style="border: 1px solid black; padding: 5px;"> ACCOMPANYING APPLICATION PARTS 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed) 16. <input type="checkbox"/> Other: </div> |
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|---|---------------------------------------|-----------|--------------|----------|------------------------------|
| 17. <input type="checkbox"/> If a CONTINUING APPLICATION , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: / | | | | | |
| 18. CORRESPONDENCE ADDRESS | | | | | |
| <input type="checkbox"/> Same as prior application <input checked="" type="checkbox"/> Correspondence address below | | | | | |
| NAME | John E. Beck | | | | |
| ADDRESS | Xerox Corporation, Xerox Square - 20A | | | | |
| CITY | Rochester | STATE | NY | ZIP CODE | 14644 |
| COUNTRY | U.S.A. | TELEPHONE | 716-423-4564 | FAX | 716-423-5240 or 716-423-2750 |

Attorney Docket No. **D/99531**

19. ☐ Cancel in this application original claims: _____ of the prior application before calculating the filing fee.
(At least one original independent claim is retained for this filing).

20. ☒ The filing fee is calculated below:

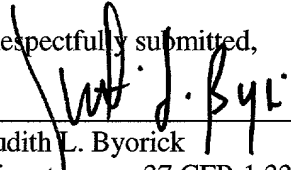
| CLAIMS AS FILED, LESS ANY CLAIMS CANCELED BY ABOVE-INDICATED AMENDMENT(S) | | | | |
|---|------------------|------------------|----------|------------------|
| (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
| TOTAL CLAIMS (37 CFR 1.16(c)) | 20 - 20 = | 0 | X \$ 18 | = \$0.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16(b)) | 1 - 3 = | 0 | X \$ 78 | = \$0.00 |
| MULTIPLE DEPENDENT CLAIMS (IF APPLICABLE) (37 CFR 1.16(d)) | | ANY - - 0 | \$ 260 | = \$0.00 |
| BASIC FEE (37 CFR 1.16(a)) | | | | \$760.00 |
| TOTAL | | | | = \$ 760.00 |

21. ☒ The Commissioner is hereby authorized to charge any filing or prosecution fees which may be required, under 37 CFR 1.16, 1.17, and 1.21 (but not 1.18), or to credit any overpayment, to Account No. 24-0025.
An additional copy of this form is enclosed.
22. ☒ This is an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
23. ☐ Amend the specification by inserting before the first line the sentence:
--This application is a ☐ continuation ☐ continuation-in-part ☐ divisional
of Application(s) No(s). _____, filed _____.--
24. ☐ A CIP declaration is enclosed.
25. ☒ Power of Attorney
- a. ☐ The power of attorney appears in the original papers of the enclosed prior application.
- b. ☐ Enclosed is a copy of the declaration and power of attorney from the enclosed prior application.
- c. ☒ A new declaration with power of attorney is enclosed.

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26. ☐ The following inventors named in the prior application are deleted per 37 CFR 1.53(b)(1), 1.63(d)(2) and 1.33 (b):
27. ☐ This application is adding one or more inventors under 37 CFR 1.48 to a previously executed application, with an enclosed: petition, fee, newly executed declaration from all inventors, and written consent of the assignee.
28. ☐ This application claims the priority benefit of one or more Provisional Application No(s). and the first sentence of this application has been or will be amended to so indicate.
29. ☐ Priority is claimed from
(reinsert all previous priority claims for the entire chain of any prior applications).
30. ☐ Other paper(s) enclosed:

Respectfully submitted,



Judith L. Byorick
Signature per 37 CFR 1.33 & 34
Date: 9/23/1999
Registration No. 32,606
Telephone No. 716-423-4564

PATENT APPLICATION

Attorney Docket No. D/99531

**APPLICATION FOR
UNITED STATES LETTERS PATENT**

TO WHOM IT MAY CONCERN:

Be it known that I, **Shadi L. Malhotra**, citizen of Canada and residing at 4191 Taffey Crescent, Mississauga, Ontario, L5L 2A6, have invented

Hot Melt Inks Containing Aldehyde Copolymers

EXPRESS MAIL LABEL NO.: EL261156418
Date of Deposit: September 23, 1999

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the following paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Charlene A. Szydlowski
Charlene A. Szydlowski

Date: 9/23/99

HOT MELT INKS CONTAINING ALDEHYDE COPOLYMERS

BACKGROUND OF THE INVENTION

The present invention is directed to hot melt ink
5 compositions. More specifically, the present invention is directed to ink
compositions suitable for use in hot melt acoustic ink printing processes.
One embodiment of the present invention is directed to an ink
composition comprising (a) an aldehyde copolymer ink vehicle, (b) a
nonpolymeric aldehyde viscosity modifier, (c) a colorant, (d) an
10 optional conductivity enhancing agent, (e) an optional antioxidant,
and (f) an optional UV absorber.

Acoustic ink jet printing processes are known. In acoustic
ink jet printing processes, an acoustic beam exerts a radiation pressure
against objects upon which it impinges. Thus, when an acoustic beam
15 impinges on a free surface (i.e., liquid/air interface) of a pool of liquid
from beneath, the radiation pressure which it exerts against the surface
of the pool may reach a sufficiently high level to release individual
droplets of liquid from the pool, despite the restraining force of surface
tension. Focusing the beam on or near the surface of the pool
20 intensifies the radiation pressure it exerts for a given amount of input
power. These principles have been applied to prior ink jet and acoustic
printing proposals. For example, K. A. Krause, "Focusing Ink Jet Head,"
IBM Technical Disclosure Bulletin, Vol. 16, No. 4, September 1973, pp.
1168-1170, the disclosure of which is totally incorporated herein by

reference, describes an ink jet in which an acoustic beam emanating from a concave surface and confined by a conical aperture was used to propel ink droplets out through a small ejection orifice. Acoustic ink printers typically comprise one or more acoustic radiators for illuminating the free surface of a pool of liquid ink with respective acoustic beams. Each of these beams usually is brought to focus at or near the surface of the reservoir (i.e., the liquid/air interface). Furthermore, printing conventionally is performed by independently modulating the excitation of the acoustic radiators in accordance with the input data samples for the image that is to be printed. This modulation enables the radiation pressure which each of the beams exerts against the free ink surface to make brief, controlled excursions to a sufficiently high pressure level for overcoming the restraining force of surface tension. That, in turn, causes individual droplets of ink to be ejected from the free ink surface on demand at an adequate velocity to cause them to deposit in an image configuration on a nearby recording medium. The acoustic beam may be intensity modulated or focused/defocused to control the ejection timing, or an external source may be used to extract droplets from the acoustically excited liquid on the surface of the pool on demand. Regardless of the timing mechanism employed, the size of the ejected droplets is determined by the waist diameter of the focused acoustic beam. Acoustic ink printing is attractive because it does not require the nozzles or the small ejection orifices which have caused many of the reliability and pixel placement accuracy problems that conventional drop on demand and continuous stream ink jet printers have suffered. The size of the ejection orifice is a critical design parameter of an ink jet because it determines

the size of the droplets of ink that the jet ejects. As a result, the size of the ejection orifice cannot be increased, without sacrificing resolution. Acoustic printing has increased intrinsic reliability because there are no nozzles to clog. As will be appreciated, the elimination of the clogged nozzle failure mode is especially relevant to the reliability of large arrays of ink ejectors, such as page width arrays comprising several thousand separate ejectors. Furthermore, small ejection orifices are avoided, so acoustic printing can be performed with a greater variety of inks than conventional ink jet printing, including inks having higher viscosities and inks containing pigments and other particulate components. It has been found that acoustic ink printers embodying printheads comprising acoustically illuminated spherical focusing lenses can print precisely positioned pixels (i.e., picture elements) at resolutions which are sufficient for high quality printing of relatively complex images. It has also been discovered that the size of the individual pixels printed by such a printer can be varied over a significant range during operation, thereby accommodating, for example, the printing of variably shaded images. Furthermore, the known droplet ejector technology can be adapted to a variety of printhead configurations, including (1) single ejector embodiments for raster scan printing, (2) matrix configured ejector arrays for matrix printing, and (3) several different types of pagewidth ejector arrays, ranging from single row, sparse arrays for hybrid forms of parallel/serial printing to multiple row staggered arrays with individual ejectors for each of the pixel positions or addresses within a pagewidth image field (i.e., single ejector/pixel/line) for ordinary line printing. Inks suitable for acoustic ink jet printing typically are liquid at ambient temperatures (i.e., about 25°C), but in other embodiments the

properties (such as a viscosity of from about 1 to about 25 centipoise) at a temperature of from about 75 to about 180°C, and solidifies or hardens after being jetted onto the substrate such that the resulting image exhibits a hardness value of from about 0.1 to about 0.5 millimeter (measured with a penetrometer according to the ASTM penetration method D1321).

Ink jet printing processes that employ inks that are solid at room temperature and liquid at elevated temperatures are known. For example, U.S. Patent 4,490,731, the disclosure of which is totally incorporated herein by reference, discloses an apparatus for dispensing solid inks for printing on a substrate such as paper. The ink vehicle is chosen to have a melting point above room temperature so that the ink, which is melted in the apparatus, will not be subject to evaporation or spillage during periods of nonprinting. The vehicle selected possesses a low critical temperature to permit the use of the solid ink in a thermal ink jet printer. In thermal ink jet printing processes employing these phase-change inks, the solid ink is melted by a heater in the printing apparatus and used as a liquid in a manner similar to that of conventional piezoelectric or thermal ink jet printing. Upon contact with the printing substrate, the molten ink solidifies rapidly, enabling the dye to remain on the surface instead of being carried into the paper by capillary action, thereby enabling higher print density than is generally obtained with liquid inks. After the phase-change ink is applied to the substrate, freezing on the substrate resolidifies the ink.

In phase-change printing processes, the ink preferably undergoes a change with temperature from a solid state to a liquid state in a desirably short period of time, typically in less than about 100

milliseconds. One advantage of phase-change inks is their ability to print superior images on plain paper, since the phase-change ink quickly solidifies as it cools, and, since it is primarily waxy in nature, it does not normally soak into a paper medium.

5 Phase-change inks also preferably exhibit a high degree of transparency, generally measured in terms of haze value of the ink. Transparent, low haze inks exhibit high gloss and high optical density compared to opaque inks, although both may appear to be evenly colored.

10 The use of phase-change inks in acoustic ink printing processes is also known. U.S. Patent 4,745,419 (Quate et al.), the disclosure of which is totally incorporated herein by reference, discloses acoustic ink printers of the type having a printhead including one or more acoustic droplet ejectors for supplying focused acoustic beams.

15 The printer comprises a carrier for transporting a generally uniformly thick film of hot melt ink across its printhead, together with a heating means for liquefying the ink as it nears the printhead. The droplet ejector or ejectors are acoustically coupled to the ink via the carrier, and their output focal plane is essentially coplanar with the free surface

20 of the liquefied ink, thereby enabling them to eject individual droplets of ink therefrom on command. The ink, on the other hand, is moved across the printhead at a sufficiently high rate to maintain the free surface which it presents to the printhead at a substantially constant level. A variety of carriers may be employed, including thin plastic and

25 metallic belts and webs, and the free surface of the ink may be completely exposed or it may be partially covered by a mesh or perforated layer. A separate heating element may be provided for

liquefying the ink, or the lower surface of the carrier may be coated with a thin layer of electrically resistive material for liquefying the ink by localized resistive heating.

U.S. Patent 5,541,627 (Quate), the disclosure of which is
5 totally incorporated herein by reference, discloses a method and
apparatus for ejecting droplets from the crests of capillary waves riding
on the free surface of a liquid by parametrically pumping the capillary
waves with electric fields from probes located near the crests. Crest
stabilizers are beneficially used to fix the spatial locations of the
10 capillary wave crests near the probes. The probes are beneficially
switchably connected to an AC voltage supply having an output that is
synchronized with the crest motion. When the AC voltage is applied to
the probes, the resulting electric field adds sufficient energy to the
system so that the surface tension of the liquid is overcome and a
15 droplet is ejected. The AC voltage is synchronized such that the droplet
is ejected about when the electric field is near its minimum value. A
plurality of droplet ejectors are arranged and the AC voltage is
switchably applied so that ejected droplets form a predetermined
image on a recording surface. The capillary waves can be generated
20 on the free surface of the liquid by using acoustical energy at a level
approaching the onset of droplet ejection. The liquid used with the
invention must also be attracted by an electric field.

Phase-change inks used in acoustic ink printing processes
also preferably exhibit a low acoustic-loss value, typically below about
25 100 decibels per millimeter. In addition, the ink vehicle preferably can
fill the pores of a porous substrate, such as paper, and preferably has a
melting point of from about 80 to about 120°C; this melting point, along

with low acoustic-loss, enables a minimization of energy consumption. When the phase-change inks are used in an electric field assisted acoustic ink printing process, the inks also are sufficiently conductive to permit the transmission of electrical signals generated by the electric field assisted acoustic ink jet printer; the inks preferably exhibit a conductivity of from about 2 to about 9 log(picomho/cm) (measured under melt conditions at about 150°C by placing an aluminum electrode in the molten ink and reading the resistivity output on a GenRad 1689 precision RLC Digibridge at a frequency of 1 kiloHertz). In general, the conductivity of a material can be measured in terms of the reciprocal of resistivity, which is the capacity for electrical resistance. Further information regarding electric field assisted acoustic ink printing processes is disclosed in, for example, Copending Application U.S. Serial No. 09/280,717, filed March 30, 1999, entitled "Method and Apparatus for Moving Ink Drops using an Electric Field and Transfuse Printing System Using the Same," with the named inventors John S. Berkes, Vittorio R. Castelli, Scott A. Elrod, Gregory J. Kovacs, Meng H. Lean, Donald L. Smith, Richard G. Stearns, and Joy Roy, the disclosure of which is totally incorporated herein by reference, which discloses a method of forming and moving ink drops across a gap between a printhead and a print medium or intermediate print medium in a marking device. The method includes generating an electric field, forming the ink drops adjacent to the printhead, and controlling the electric field. The electric field is generated to extend across the gap. The ink drops are formed in an area adjacent to the printhead. The electric field is controlled such that an electrical attraction force exerted on the formed ink drops by the electric field is the greatest force acting on the

ink drops. The marking device can be incorporated into a transfuse printing system having an intermediate print medium made of one or more materials that allow for lateral dissipation of electrical charge from the incident ink drops.

5 U.S. Patent 5,876,492 (Malhotra et al.), the disclosure of which is totally incorporated herein by reference, discloses an ink comprising (1) a liquid ester vehicle, (2) a solid ester compound, (3) a liquid crystalline ester compound, (4) a UV absorber, (5) an antioxidant, and (6) a colorant.

10 U.S. Patent 5,922,117 (Malhotra et al.), the disclosure of which is totally incorporated herein by reference, discloses an ink composition comprising (1) a liquid alcohol vehicle, (2) a solid alcohol compound, (3) a quaternary compound, (4) a lightfastness UV absorber, (5) a lightfastness antioxidant, and (6) a colorant.

15 U.S. Patent 5,902,390 (Malhotra et al.), the disclosure of which is totally incorporated herein by reference, discloses an ink comprising (1) a liquid ketone, (2) a solid ketone, (3) a lightfastness UV absorber, (4) a lightfastness antioxidant, and (5) a colorant.

20 U.S. Patent 5,931,995 (Malhotra et al.), the disclosure of which is totally incorporated herein by reference, discloses an ink comprising (1) a liquid aldehyde, a liquid acid, or mixtures thereof, (2) a solid additive aldehyde compound, a solid additive acid compound, or mixtures thereof, (3) a lightfastness UV absorber, (4) a lightfastness antioxidant, and (5) a colorant.

25 Copending Application U.S. Serial No. 08/933,914, entitled "Hot Melt Ink Compositions," filed September 23, 1997, with the named inventors Shadi L. Malhotra and Danielle C. Boils, the disclosure of which

is totally incorporated herein by reference, discloses an ink composition comprising (1) a liquid cyclic vehicle, (2) a cyclic compound, (3) a liquid crystalline nitrile compound, (4) a lightfastness UV absorber, (5) a lightfastness antioxidant, and (6) a colorant.

5 Copending Application U.S. Serial No. 09/281,571, entitled "Ink Compositions," filed March 30, 1999, with the named inventors Marcel P. Breton, Shadi L. Malhotra, and Raymond W. Wong, the disclosure of which is totally incorporated herein by reference, discloses an ink composition containing (1) a first solid carbamate, (2) a second
10 carbamate with a dissimilar melting point from the first solid carbamate (1), (3) a lightfastness component, (4) a lightfastness antioxidant, and (5) a colorant.

 Copending Application U.S. Serial No. 09/362,673, entitled "Inks," filed July 29, 1999, with the named inventors Raymond W. Wong,
15 Marcel P. Breton, Danielle C. Boils, Fatima M. Mayer, and Shadi L. Malhotra, the disclosure of which is totally incorporated herein by reference, discloses an ink composition comprising (1) a carbamate or thiourea with a melting point of from about 60°C to about 120°C and an acoustic-loss value of from about 25 to about 80 decibels per
20 millimeter, (2) an alcohol compound with a melting point of about 25°C to about 90°C and with an acoustic-loss value of from about 5 to about 40 decibels per millimeter, (3) a lightfastness component, (4) an antioxidant, and (5) a colorant.

 Copending Application U.S. Serial No. 09/300,331, entitled
25 "Ink Compositions," filed April 27, 1999, with the named inventors Marcel P. Breton, Shadi L. Malhotra, Raymond W. Wong, Danielle C. Boils, Carl P. Tripp, and Pudupadi R. Sundararajan, the disclosure of which is totally

incorporated herein by reference, discloses an ink composition comprising (1) a solid oxazoline compound with a melting point of from about 60°C to about 120°C and an acoustic-loss value of from about 25 to about 80 decibels per millimeter; (2) a carbamate compound with a
5 melting point of from about 25°C to about 100°C; (3) an alcohol compound; (4) a lightfastness component; (5) a lightfastness antioxidant; and (6) a colorant.

Copending Application U.S. Serial No. 09/300,193, entitled "Ink Compositions," filed April 27, 1999, with the named inventors
10 Raymond W. Wong, Shadi L. Malhotra, and Marcel P. Breton, the disclosure of which is totally incorporated herein by reference, discloses a conductive ink composition comprising (1) an acid salt; (2) a conductive quaternary compound; (3) a viscosity modifying compound; (4) a lightfastness component; (5) a lightfastness
15 antioxidant; and (6) a colorant.

Copending Application U.S. Serial No. 09/300,333, entitled "Ink Compositions," filed April 27, 1999, with the named inventors Marcel P. Breton, Shadi L. Malhotra, and Raymond W. Wong, the disclosure of which is totally incorporated herein by reference, discloses an ink
20 composition containing (1) a solid carbamate compound; (2) an alcohol compound with a melting point of from about 25°C to about 90°C; (3) a lightfastness component; (4) a lightfastness antioxidant; and (5) a colorant.

Copending Application U.S. Serial No. 09/300,210, entitled
25 "Ink Compositions," filed April 27, 1999, with the named inventors Shadi L. Malhotra, James D. Mayo, and Marcel P. Breton, the disclosure of which is totally incorporated herein by reference, discloses an aqueous ink

composition comprising (1) a quaternary compound selected from the group consisting of (a) imidazolinium quaternary salts, (b) phosphonium quaternary salts, and (c) ammonium quaternary salts; (2) a liquid ink vehicle; (3) a paper-curl reducing compound; (4) a lightfastness component; (5) a lightfastness antioxidant; (6) a substantially water soluble organic salt or a substantially water soluble inorganic salt; (7) a biocide; and (8) a colorant.

Copending Application U.S. Serial No. 09/300,332, entitled "Ink Compositions," filed April 27, 1999, with the named inventors Marcel P. Breton, Shadi L. Malhotra, Danielle C. Boils, Raymond W. Wong, Guerino G. Sacripante, and John M. Lennon, the disclosure of which is totally incorporated herein by reference, discloses an ink composition comprising (1) a mixture of a salt and an oxyalkylene compound wherein the conductive mixture possesses a melting point of from about 60°C to about 120°C; (2) an ink vehicle compound with a melting point of from about 80°C to about 100°C; (3) a viscosity modifying amide compound; (4) a lightfastness component; (5) a lightfastness antioxidant; and (6) a colorant.

Copending Application U.S. Serial No. 09/342,392, entitled "Inks," filed June 29, 1999, with the named inventors Marcel P. Breton, Shadi L. Malhotra, and Raymond W. Wong, the disclosure of which is totally incorporated herein by reference, discloses an ink composition comprising (1) an azole compound, (2) a viscosity compound, (3) a lightfastness component, (4) an antioxidant, and (5) a colorant.

Copending Application U.S. Serial No. 09/342,947, entitled "Ink Compositions," filed June 29, 1999, with the named inventors Marcel P. Breton, Shadi L. Malhotra, and Gregory J. Kovacs, the disclosure of

which is totally incorporated herein by reference, discloses an ink composition comprising (1) a polymer; (2) an acid compound of the formula $\text{CH}_3(\text{CH}_2)_m(\text{CH}_2\text{CH}=\text{CH})_p(\text{CH}_2)_n\text{COOH}$ wherein n, m, and p represent the number of segments; (3) a conductive component; (4) a
5 lightfastness component; and (5) a colorant.

Copending Application U.S. Serial No. 09/281,682, entitled "Ink Compositions," filed March 30, 1999, with the named inventors H. Bruce Goodbrand, Danielle C. Boils, Pudupadi R. Sundararajan, Raymond W. Wong, and Shadi L. Malhotra, the disclosure of which is
10 totally incorporated herein by reference, discloses an ink composition comprising (1) a thiourea with a melting point of from about 60 to about 120°C and with an acoustic-loss value of from about 25 to about 80 decibels per millimeter, (2) an optional ink carbamate with a melting point of from about 25°C to about 60°C and with an acoustic-loss value
15 of from about 5 to about 40 decibels per millimeter, (3) a lightfastness component, (4) a lightfast antioxidant, and (5) a colorant.

Copending Application U.S. Serial No. 09/300,373, entitled "Ink Compositions," filed April 27, 1999, with the named inventors Marcel P. Breton, Shadi L. Malhotra, and Raymond W. Wong, the disclosure of
20 which is totally incorporated herein by reference, discloses an ink composition comprising (1) a solid urea compound; (2) an alcohol; (3) a lightfastness component; (4) a lightfast antioxidant; and (5) a colorant.

Copending Application U.S. Serial No. 09/300,298, entitled
25 "Ink Compositions," filed April 27, 1999, with the named inventors Shadi L. Malhotra, Raymond W. Wong, and Marcel P. Breton, the disclosure of which is totally incorporated herein by reference, discloses an ink

composition comprising (1) an oxazoline compound; (2) a thiourea compound with an optional melting point of from about 25 to about 100°C and with an optional acoustic-loss value of from about 5 to about 40 decibels per millimeter; (3) an alcohol; (4) a lightfastness compound; (5) an antioxidant; and (6) a colorant.

Copending Application U.S. Serial No. (not yet assigned; Attorney Docket No. D/99432), entitled "Conductive Inks Containing Pyridine Compounds," filed concurrently herewith, with the named inventors Shadi L. Malhotra, Raymond W. Wong, and Marcel P. Breton, the disclosure of which is totally incorporated herein by reference, discloses an ink composition comprising (a) an ink vehicle which comprises a conductive pyridinium compound having a melting point of no lower than about 60°C and no higher than about 155°C, (b) a viscosity modifier which is a pyridine compound, a pyrimidine compound, a pyrazine compound, a pyridazine compound, or mixtures thereof, said pyridine, pyrimidine, pyrazine, or pyridazine compounds having a melting point of no lower than about 60°C and no higher than about 155°C, (c) a binder which is a polymeric pyridine or pyridinium compound; (d) a colorant, (e) an optional antioxidant, and (f) an optional UV absorber.

Copending Application U.S. Serial No. (not yet assigned; Attorney Docket No. D/99434), entitled "Conductive Inks Containing Sulfonate Salts," filed concurrently herewith, with the named inventors Shadi L. Malhotra, Raymond W. Wong, and Marcel P. Breton, the disclosure of which is totally incorporated herein by reference, discloses an ink composition comprising (a) an ink vehicle which is selected from (i) 1,3-dialkyl ureas, (ii) N,N'-ethylene bisalkylamides, (iii) N-[4-chloro-3-

[4,5-dihydro-5-oxo-1-(2,4,6-trichlorophenyl)-1H-pyrazol-3-ylamino]
phenyl]-2-(1-octadecenyl) succinimide, (iv) 1,3-diamino-5,6-
bis(octyloxy) isoindoline, (v) N,N-dimethyl alkylamine N-oxides, (vi) alkyl
amides, or (vii) mixtures thereof, said ink vehicle having a melting point
5 of no lower than about 60°C and no higher than about 155°C, (b) a
viscosity modifier which is an amide having a melting point of no lower
than about 60°C and no higher than about 155°C, (c) a conductive
sulfonate salt having a melting point of no lower than about 60°C and
no higher than about 155°C, (d) a colorant, (e) an optional antioxidant,
10 and (f) an optional ultraviolet absorber.

Copending Application U.S. Serial No. (not yet assigned;
Attorney Docket No. D/99530), entitled "Hot Melt Inks Containing
Polyanhydrides," filed concurrently herewith, with the named inventor
Shadi L. Malhotra, the disclosure of which is totally incorporated herein
15 by reference, discloses an ink composition comprising (a) a
polyanhydride ink vehicle, (b) a nonpolymeric anhydride viscosity
modifier, and (c) a colorant.

Copending Application U.S. Serial No. (not yet assigned;
Attorney Docket No. D/99532), entitled "Hot Melt Inks Containing Styrene
or Terpene Polymers," filed concurrently herewith, with the named
inventor Shadi L. Malhotra, the disclosure of which is totally
20 incorporated herein by reference, discloses an ink composition
comprising (a) a styrene polymer or terpene polymer hardening
component, (b) a nonpolymeric aromatic viscosity modifier, (c) a
colorant, (d) an optional nonpolymeric aromatic ink vehicle, (e) an
25 optional colorant dispersing agent, (f) an optional conductivity

enhancing agent, (g) an optional antioxidant, and (h) an optional UV absorber.

Copending Application U.S. Serial No. (not yet assigned; Attorney Docket No. D/99541), entitled "Hot Melt Inks Containing Polyketones," filed concurrently herewith, with the named inventor Shadi L. Malhotra, the disclosure of which is totally incorporated herein by reference, discloses an ink composition comprising (a) a nonpolymeric ketone ink vehicle having a melting point of at least about 60°C, (b) a polyketone hardening component, (c) a colorant, (d) a conductivity enhancing agent, (e) an optional antioxidant, (f) an optional viscosity modifier which is a nonpolymeric carbonate, monoketone, or diketone, and (g) an optional ultraviolet absorber.

Copending Application U.S. Serial No. (not yet assigned; Attorney Docket No. D/99542), entitled "Hot Melt Inks Containing Polyesters," filed concurrently herewith, with the named inventor Shadi L. Malhotra, the disclosure of which is totally incorporated herein by reference, discloses an ink composition comprising (a) a polyester ink vehicle, (b) a nonpolymeric ester viscosity modifier, (c) a colorant, (d) an optional colorant dispersing agent, (e) an optional conductivity enhancing agent, (f) an optional antioxidant, and (g) an optional UV absorber.

While known compositions and processes are suitable for their intended purposes, a need remains for improved phase-change inks. In addition, a need remains for improved inks for acoustic ink printing. Further, a need remains for conductive inks. Additionally, a need remains for phase-change inks with desirable melting point values. There is also a need for phase-change inks with melt viscosities

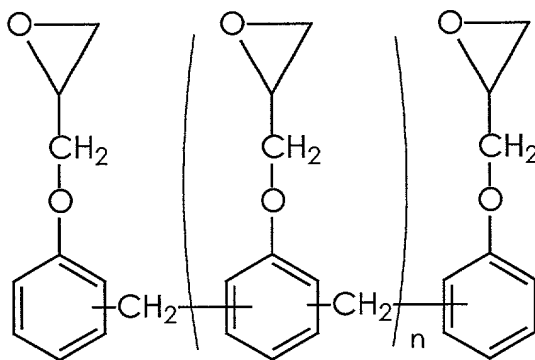
at jetting temperatures that enable high quality ink jet printing. In addition, there is a need for phase-change inks that generate images with excellent hardness values. Further, there is a need for phase-change inks undergo, upon heating, a change from a solid state to a liquid state in a desirably rapid period of time. Additionally, there is a need for phase-change inks with acoustic-loss values that are desirable for acoustic ink printing applications. A need also remains for phase-change ink compositions with conductivity values that are desirable for electric field assisted acoustic ink printing processes. In addition, a need remains for phase-change inks that generate images with desirably low haze values. Further, a need remains for phase-change inks that generate images with good crease resistance. Additionally, a need remains for phase-change inks that generate images with high gloss. There is also a need for hot melt ink compositions having ink vehicles in which dye colorants are highly soluble, thereby enabling prints with desirably high optical density using smaller amounts of the ink, and enabling thinner images of the ink on the substrate (for example, a printing ink thickness of 5 microns, compared to 10 microns). In addition, there is a need for phase-change inks that generate images with excellent scratch resistance.

SUMMARY OF THE INVENTION

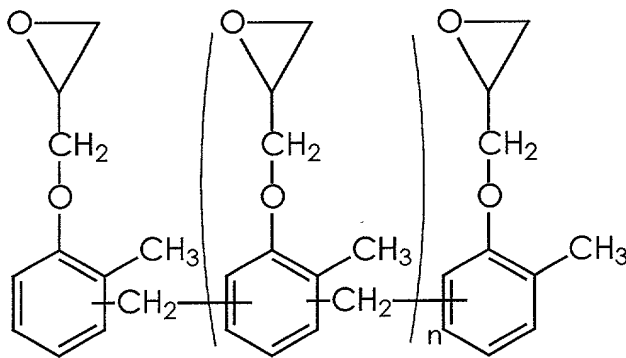
The present invention is directed to an ink composition comprising (a) an aldehyde copolymer ink vehicle, (b) a nonpolymeric aldehyde viscosity modifier, (c) a colorant, (d) an optional conductivity enhancing agent, (e) an optional antioxidant, and (f) an optional UV absorber.

DETAILED DESCRIPTION OF THE INVENTION

The inks of the present invention contain an aldehyde copolymer ink vehicle. Examples of suitable aldehyde copolymers include copolymers of aldehyde monomers, such as formaldehyde and the like and other monomers. Specific examples of suitable aldehyde copolymers include (1) poly ((phenyl glycidyl ether)-co-formaldehyde) ($M_n=570$; Aldrich 40,676-7), of the formula



(2) poly ((o-cresyl glycidyl ether)-co-formaldehyde) ($M_n=870$; melting point 70-75°C; Aldrich 40,551-5; hardness value 92), of the formula



(3) poly (p-toluenesulfonamide-co-formaldehyde) (Aldrich 28,076-3; hardness value 90), and the like, as well as mixtures thereof. The aldehyde copolymer ink vehicle is present in the ink in any desired or

effective amount, typically no less than about 1 percent by weight of the ink, and preferably no less than about 5 percent by weight of the ink, and typically no more than about 94 percent by weight of the ink, preferably no more than about 25 percent by weight of the ink, and
5 more preferably no more than about 15 percent by weight of the ink, although the amount can be outside of these ranges. The ink vehicle typically has a melting point of no less than about 60°C, preferably no less than about 65°C, and more preferably no less than about 70°C, and typically has a melting point of no more than about 150°C,
10 preferably no more than about 135°C, and more preferably no more than about 100°C, although the melting point can be outside of these ranges. Preferably, the ink vehicle has an acoustic-loss value of no more than about 100 decibels per millimeter, although the acoustic-loss value can be outside of this range. Typically, the ink vehicle has a
15 hardness value of at least about 80, although the hardness value can be outside of this range. Typically, the ink vehicle has a viscosity of no less than about 50 centipoise, and typically has a viscosity of no more than about 2,000 centipoise, and preferably no more than about 500 centipoise, at temperatures of about 150°C, although the viscosity can
20 be outside of these ranges.

The inks of the present invention also contain a nonpolymeric aldehyde viscosity modifier. Examples of suitable nonpolymeric aldehydes include (a) benzaldehyde compounds, such as (1) 3-hydroxy benzaldehyde (Aldrich H1,980-8), (2) 4-hydroxy
25 benzaldehyde (Aldrich 14,408-8), (3) 4-benzyloxy benzaldehyde (Aldrich 12,371-4), (4) 2-carboxy benzaldehyde (Aldrich 11,106-7), (5) 4-nitro benzaldehyde (Aldrich 13,017-6), (6) 2,3-dihydroxy benzaldehyde

(Aldrich 18,983-9), (7) 2,5-dihydroxy benzaldehyde (Aldrich D10,820-0), (8) 3-hydroxy-4-methoxy benzaldehyde (Aldrich 14,368-5), (9) 4-hydroxy-3-methoxy benzaldehyde (Aldrich V,110-4; hardness value 74.5), (10) 4-hydroxy-3-ethoxy benzaldehyde (Aldrich 12,809-0), (11) 4-hydroxy-3-methyl benzaldehyde (Aldrich 31,691-1), (12) 2-hydroxy-5-nitrobenzaldehyde (Aldrich 27,535-2), (13) 3-hydroxy-4-nitrobenzaldehyde (Aldrich 15,616-7), (14) 4-hydroxy-3-nitrobenzaldehyde (Aldrich 14,432-0), (15) 3,4-dibenzyloxy benzaldehyde (Aldrich D3,600-3), (16) 3,5-dibenzyloxy benzaldehyde (Aldrich 36,810-5), (17) 4-acetoxy-3,5-dimethoxy benzaldehyde (Aldrich 38,774-6; hardness value 79.5), (18) 2-amino-3,5-dibromo benzaldehyde (Aldrich 46,346-9), (19) 2-benzyloxy-4,5-dimethoxy benzaldehyde (Aldrich 42,490-0), (20) 5-bromo-2-hydroxy-3-methoxy benzaldehyde (Aldrich 41,047-0), (21) 4-hydroxy-3,5-dimethoxy benzaldehyde (Aldrich S,760-2), (22) 2,3,5-trichlorobenzaldehyde (Aldrich 29, 233-8), (23) 2,3,6-trichlorobenzaldehyde (Aldrich 29,231-1), (24) 2,4,5-trimethoxy benzaldehyde (Aldrich 13,215-2), (25) 2,4,6-trimethoxy benzaldehyde (Aldrich 13,871-1), (26) 3,5-dichloro-2-hydroxy-benzaldehyde (Aldrich 26,181-5), (27) 3,5-dibromo-2-hydroxy-benzaldehyde (Aldrich 12,213-0), (28) 3,5-diiodo-2-hydroxy-benzaldehyde (Aldrich 28,344-4), (29) 3,4-dihydroxy-5-methoxy benzaldehyde (Aldrich 46,412-0), (30) 3,5-dimethyl-4-hydroxy benzaldehyde (Aldrich 14,039-2), (31) 2,6-dimethoxybenzaldehyde (Aldrich 29,250-8), and the like, as well as mixtures thereof, (b) cinnamaldehydes, such as (1) trans-2-nitro cinnamaldehyde (Aldrich N1,620-7), (2) trans-4-(diethylamino) cinnamaldehyde (Aldrich 33,849-4), (3) 4-acetoxy-3-methoxy cinnamaldehyde (Aldrich 42,754-3), (4) 4-hydroxy-3-methoxy

cinnamaldehyde (Aldrich 38,205-1), and the like, as well as mixtures thereof, (c) other aldehydes, such as (1) 2-hydroxy-1-naphthaldehyde (Aldrich H4,535-3), (2) 2-methoxy-1-naphthaldehyde (Aldrich 15,134-3), (3) 9-anthraldehyde (Aldrich 27,868-8), (4) 5-bromo-2-furaldehyde (Aldrich 43,398-5), (5) 5-nitro-2-thiophene carboxaldehyde (Aldrich 30,229-5), (6) 9-ethyl-3-carbazole carboxaldehyde (Aldrich 15,148-3), (7) trans-4-stillbene carboxaldehyde (Aldrich 26,813-5), (8) 2-hydroxy-5-methyl-1,3-benzene dicarboxaldehyde (Aldrich 39,385-1), (9) terephthal dicarboxaldehyde (Aldrich T220-7; hardness value 73), (10) 2-(diphenylphosphino) benzaldehyde (Aldrich 32,620-8), (11) 1-(phenylsulfonyl)-2-pyrrolicarboxaldehyde (Aldrich 43,884-7), (12) 1-pyrene carboxaldehyde (Aldrich 14,403-7), (13) phenanthrene carboxaldehyde (Aldrich P1,160-3), (14) 2-fluorene carboxaldehyde (Aldrich 15,014-2), and the like, as well as mixtures thereof. The viscosity modifier generally acts to lower the viscosity of the ink at the jetting temperature, typically lowering the viscosity by from about 10 to about 20 centipoise compared to a similar composition containing no viscosity modifier, although the quantitative viscosity adjustment can be outside of this range. The viscosity modifier is present in the ink in any desired or effective amount, typically no less than about 5 percent by weight of the ink, preferably no less than about 30 percent by weight of the ink, and more preferably no less than about 40 percent by weight of the ink, and typically no more than about 98 percent by weight of the ink, preferably no more than about 95 percent by weight of the ink, more preferably no more than about 85 percent by weight of the ink, and even more preferably no more than about 80 percent by weight of the ink, although the amount can be outside of these ranges. Typically, the

viscosity modifier has a melting point of no less than about 60°C, and preferably no less than about 70°C, typically has a melting point of no more than about 155°C, and preferably no more than about 140°C, typically has a boiling point of no lower than about 150°C and no higher than about 225°C, and typically has an acoustic-loss value of no more than about 100 decibels per millimeter, and preferably no more than about 60 decibels per millimeter, although the melting point, boiling point, and acoustic-loss value can be outside of these ranges.

Any desired or effective colorant can be employed in the inks of the present invention, including dyes, pigments, mixtures thereof, and the like, provided that the colorant can be dissolved or dispersed in the ink vehicle, with spirit soluble dyes being preferred. The colorant is present in the ink in any desired or effective amount to obtain the desired color and hue, typically no less than about 0.5 percent by weight of the ink, and preferably no less than about 3 percent by weight of the ink, and typically no more than about 20 percent by weight of the ink, and preferably no more than about 12 percent by weight of the ink, although the amount can be outside of these ranges.

Examples of suitable pigments include Violet Toner VT-8015 (Paul Uhlich); Paliogen Violet 5100 (BASF); Paliogen Violet 5890 (BASF); Permanent Violet VT 2645 (Paul Uhlich); Heliogen Green L8730 (BASF); Argyle Green XP-111-S (Paul Uhlich); Brilliant Green Toner GR 0991 (Paul Uhlich); Lithol Scarlet D3700 (BASF); Toluidine Red (Aldrich); Scarlet for Thermoplast NSD PS PA (Ugine Kuhlmann of Canada); E.D. Toluidine Red (Aldrich); Lithol Rubine Toner (Paul Uhlich); Lithol Scarlet 4440 (BASF); Bon Red C (Dominion Color Company); Royal Brilliant Red RD-8192 (Paul Uhlich); Oracet Pink RF (Ciba-Geigy); Paliogen Red 3871K (BASF);

Paliogen Red 3340 (BASF); Lithol Fast Scarlet L4300 (BASF); Heliogen Blue L6900, L7020 (BASF); Heliogen Blue K6902, K6910 (BASF); Heliogen Blue D6840, D7080 (BASF); Sudan Blue OS (BASF); Neopen Blue FF4012 (BASF); PV Fast Blue B2G01 (American Hoechst); Irgalite Blue BCA (Ciba-Geigy);

5 Paliogen Blue 6470 (BASF); Sudan III (Red Orange) (Matheson, Coleman Bell); Sudan II (Orange) (Matheson, Coleman Bell); Sudan Orange G (Aldrich), Sudan Orange 220 (BASF); Paliogen Orange 3040 (BASF); Ortho Orange OR 2673 (Paul Uhlich); Paliogen Yellow 152, 1560 (BASF); Lithol Fast Yellow 0991K (BASF); Paliotol Yellow 1840 (BASF); Novoperm Yellow

10 FGL (Hoechst); Permanent Yellow YE 0305 (Paul Uhlich); Lumogen Yellow D0790 (BASF); Suco-Yellow L1250 (BASF); Suco-Yellow D1355 (BASF); Suco Fast Yellow D1355, D1351 (BASF); Hostaperm Pink E (American Hoechst); Fanal Pink D4830 (BASF); Cinquasia Magenta (Du Pont); Paliogen Black L0084 (BASF); Pigment Black K801 (BASF); and carbon blacks such as

15 REGAL 330® (Cabot), Carbon Black 5250, Carbon Black 5750 (Columbia Chemical), and the like.

Examples of suitable dyes include Pontamine; Food Black 2; Carodirect Turquoise FBL Supra Conc. (Direct Blue 199), available from Carolina Color and Chemical; Special Fast Turquoise 8 GL Liquid (Direct

20 Blue 86), available from Mobay Chemical; Intrabond Liquid Turquoise GLL (Direct Blue 86), available from Crompton and Knowles; Cibracron Brilliant Red 38-A (Reactive Red 4), available from Aldrich Chemical; Drimarene Brilliant Red X-2B (Reactive Red 56), available from Pylam, Inc.; Levafix Brilliant Red E-4B, available from Mobay Chemical; Levafix

25 Brilliant Red E6-BA, available from Mobay Chemical; Procion Red H8B (Reactive Red 31), available from ICI America; Pylam Certified D&C Red #28 (Acid Red 92), available from Pylam; Direct Brill Pink B Ground Crude,

available from Crompton and Knowles; Cartasol Yellow GTF Presscake, available from Sandoz, Inc.; Tartrazine Extra Conc. (FD&C Yellow #5, Acid Yellow 23), available from Sandoz, Inc.; Carodirect Yellow RL (Direct Yellow 86), available from Carolina Color and Chemical; Cartasol Yellow

5 GTF Liquid Special 110, available from Sandoz, Inc.; D&C Yellow #10 (Acid Yellow 3), available from Tricon; Yellow Shade 16948, available from Tricon; Basacid Black X 34, available from BASF; Carta Black 2GT, available from Sandoz, Inc.; and the like. Particularly preferred are solvent dyes; within the class of solvent dyes, spirit soluble dyes are

10 preferred because of their compatibility with the ink vehicles of the present invention. Examples of suitable spirit solvent dyes include Neozapon Red 492 (BASF); Orasol Red G (Ciba-Geigy); Direct Brilliant Pink B (Crompton & Knowles); Aizen Spilon Red C-BH (Hodogaya Chemical); Kayanol Red 3BL (Nippon Kayaku); Levanol Brilliant Red 3BW (Mobay

15 Chemical); Levaderm Lemon Yellow (Mobay Chemical); Spirit Fast Yellow 3G; Aizen Spilon Yellow C-GNH (Hodogaya Chemical); Sirius Supra Yellow GD 167; Cartasol Brilliant Yellow 4GF (Sandoz); Pergasol Yellow CGP (Ciba-Geigy); Orasol Black RLP (Ciba-Geigy); Savinyl Black RLS (Sandoz); Dermacarbon 2GT (Sandoz); Pyrazol Black BG (ICI); Morfast

20 Black Conc. A (Morton-Thiokol); Diaazol Black RN Quad (ICI); Orasol Blue GN (Ciba-Geigy); Savinyl Blue GLS (Sandoz); Luxol Blue MBSN (Morton-Thiokol); Sevron Blue 5GMF (ICI); Basacid Blue 750 (BASF), and the like. Neozapon Black X51 [C.I. Solvent Black, C.I. 12195] (BASF), Sudan Blue 670 [C.I. 61554] (BASF), Sudan Yellow 146 [C.I. 12700] (BASF), and Sudan

25 Red 462 [C.I. 26050] (BASF) are preferred.

The inks of the present invention further optionally contain a conductivity enhancing agent when conductive inks are desirable, as

in applications such as electric field assisted hot melt acoustic ink printing processes. Any desired or effective conductivity enhancing agent can be employed. Specific examples of suitable conductivity enhancing agents include complexes of dianilines, including dianiline and bis dianiline compounds, such as (1) 2,2'-dithio dianiline (Aldrich 16,676-6), (2) 4,4'-dithiodianiline (Aldrich 36,946-26), (3) 3,3'-methylene dianiline (Aldrich 37,826-7), (4) 4,4'-methylene dianiline (Aldrich 13,245-4), (5) N-methyl-4,4'-methylene dianiline (Aldrich 42,282-7), (6) 4,4'-methylene bis(2,6-diethyl aniline) (Aldrich 36,078-3), (7) 4,4'-methylene bis(2,6-diisopropyl-N,N-dimethylaniline) (Aldrich 40,353-9), (8) 4,4'-methylene bis (N,N-dimethylaniline) (Aldrich M4,445-1), (9) 4,4'-methylene bis (2,6-dimethylaniline) (Aldrich 36,079-1), (10) 4,4'-methylene bis (3-chloro-2,6-diethylaniline) (Aldrich 42,660-1), (11) 3,3'-(sulfonyl bis(4,1-phenylene))dianiline (Aldrich 44,095-7), (12) 4,4'-(1,3-phenylene diisopropylidene) bisaniline (Aldrich 45,048-0), and the like, as well as mixtures thereof, said dianilines being complexed with, for example, conductivity inducing phosphorous compounds such as phosphorus-containing acid compounds, with specific examples including (1) phenylphosphinic acid (Aldrich P2,880-8), (2) dimethylphosphinic acid (Aldrich 32,829-4), (3) methyl phosphonic acid (Aldrich 28,986-8), and the like, as well as mixtures thereof. The conductivity enhancing agent, when present, is present in the ink in any desired or effective amount, typically no less than about 2 percent by weight of the ink, preferably no less than about 8 percent by weight of the ink, and more preferably no less than about 13 percent by weight of the ink, and typically no more than about 93 percent by weight of the ink, preferably no more than about 50 percent by weight of the ink,

more preferably no more than about 45 percent by weight of the ink, and even more preferably no more than about 35 percent by weight of the ink, although the amount can be outside of these ranges. The conductivity enhancing agent typically has a conductivity of at least
5 about 6.0 log(picomho/cm), although the conductivity can be outside of this range.

The optional antioxidants in the ink compositions protect the images from oxidation and also protect the ink components from oxidation during the heating portion of the ink preparation process.
10 Specific examples of suitable antioxidants include (but are not limited to) (1) 2,6-di-tert-butyl-4-methoxyphenol (Aldrich 25,106-2), (2) 2,4-di-tert-butyl-6-(4-methoxybenzyl) phenol (Aldrich 23,008-1), (3) 4-bromo-2,6-dimethylphenol (Aldrich 34,951-8), (4) 4-bromo-3,5-dimethylphenol (Aldrich B6,420-2), (5) 4-bromo-2-nitrophenol (Aldrich 30,987-7), (6) 4-
15 (diethyl aminomethyl)-2,5-dimethylphenol (Aldrich 14,668-4), (7) 3-dimethylaminophenol (Aldrich D14,400-2), (8) 2-amino-4-tert-amylphenol (Aldrich 41,258-9), (9) 2,6-bis(hydroxymethyl)-p-cresol (Aldrich 22,752-8), (10) 2,2'-methylenediphenol (Aldrich B4,680-8), (11) 5-diethylamino)-2-nitrosophenol (Aldrich 26,951-4), (12) antimony dialkyl phosphorodithioate
20 (commercially available from Vanderbilt), (13) molybdenum oxysulfide dithiocarbamate (commercially available from Vanderbilt), (14) (nickel-bis(o-ethyl(3,5-di-tert-butyl-4-hydroxybenzyl) phosphonate (commercially available from Ciba Geigy), (15) 4,4'-methylene-bis(dibutyldithiocarbamate) (commercially available as Vanlube 7723
25 from Vanderbilt), (16) tetrasodium-N-(1,2-dicarboxyethyl)-N-octadecyl sulfosuccinamate (commercially available from American Cyanamid), (17) 2,6-di-tert-butyl- α -dimethylamino-4-cresol (commercially available

as Ethanox-703 from Ethyl Corporation), (18) 2,2'-isobutylidene-bis(4,6-dimethyl phenol) (commercially available as Vulkanox NKF from Mobay Chemicals), (19) 2,2'-methylenebis(6-tert-butyl-4-methylphenol) (commercially available as Cyanox-2246, Aldrich 41,315-5), (20) 2,2'-methylenebis(6-tert-butyl-4-ethylphenol) (commercially available as Cyanox-425, Aldrich 41,314-3), (21) N-isopropyl-N'-phenyl-phenylene diamine (commercially available as Santoflex-IP from Monsanto Chemicals, (22) N-(1,3-dimethylbutyl)-N'-phenyl-phenylene-diamine (commercially available as Santoflex-13 from Monsanto Chemicals), (23) N,N'-di(2-octyl)-4-phenylene diamine (commercially available as Antozite-1 from Vanderbilt), (24) N,N'-bis(1,4-dimethylpentyl)-4-phenylene diamine (commercially available as Santoflex-77 from Monsanto Chemicals), (25) 2,4,6-tris-(N-1,4-dimethyl pentyl-4-phenylenediamino)-1,3,5-triazine (commercially available as Durazone-37 from Uniroyal), (26) D-raffinose pentahydrate (Aldrich 20,667-9), (27) 2,2'-methylene bis(6-tert-butyl-4-methyl-phenol) (Aldrich 41,313-5), (28) 2,6-di-tert-butyl-4-(dimethylaminomethyl) phenol (Aldrich 41,327-5), (29) 4-dodecylresorcinol (Aldrich D22,260-7), (30) 2-amino-4-(ethylsulfonyl) phenol (Aldrich 32,919-3), (31) 3-(ethylamino)-p-cresol (Aldrich 27,523-9), (32) tetrakis (2,4-ditert-butylphenyl)-4,4'-biphenylphosphonite (hardness value 89.5; Aldrich 46,852-5), (33) 4-dodecyloxy-2-hydroxy benzophenone (obtained from ICN Biomedicals; ICN #213338), (34) 2,2,4-trimethyl-1,2-hydroquinoline (available from Mobay Corporation), and the like, as well as mixtures thereof. When present, the antioxidants are present in any desired or effective amount, typically no less than about 0.25 percent by weight of the ink, and preferably no less than about 1 percent by weight of the in, and typically no more than about 93 percent by weight of the

ink, preferably no more than about 10 percent by weight of the ink, and more preferably no more than about 5 percent by weight of the ink, although the amount can be outside of these ranges.

The optional UV absorbers in the inks of the present invention primarily protect the images generated therewith from UV degradation. Specific examples of suitable UV absorbers include (but are not limited to) (1) 2-bromo-2',4-dimethoxyacetophenone (Aldrich 19,948-6), (2) 2-bromo-2',5'-dimethoxyacetophenone (Aldrich 10,458-2), (3) 2-bromo-3'-nitroacetophenone (Aldrich 34,421-4), (4) 2-bromo-4'-nitroacetophenone (Aldrich 24,561-5), (5) 3',5'-diacetoxyacetophenone (Aldrich 11,738-2), (6) 2-phenylsulfonyl acetophenone (Aldrich 34,150-3), (7) 3'-aminoacetophenone (Aldrich 13,935-1), (8) 4'-aminoacetophenone (Aldrich A3,800-2), (9) 1H-benzotriazole-1-acetonitrile (Aldrich 46,752-9), (10) 2-(2H-benzotriazol-2-yl)-4,6-di-tert-pentylphenol (Aldrich 42,274-6), (11) 1,1-(1,2-ethane-diyl)bis(3,3,5,5-tetramethylpiperazinone) (commercially available from Goodrich Chemicals), (12) 2,2,4-trimethyl-1,2-hydroquinoline (commercially available from Mobay Chemical), (13) 2-(4-benzoyl-3-hydroxy phenoxy)ethylacrylate, (14) 2-dodecyl-N-(1,2,2,6,6-pentamethyl-4-piperidiny) succinimide (commercially available from Aldrich Chemical Co., Milwaukee, WI), (15) 2,2,6,6-tetramethyl-4-piperidiny/ $\beta,\beta,\beta',\beta'$ -tetramethyl-3,9-(2,4,8,10-tetraoxo spiro(5,5)-undecane) diethyl]-1,2,3,4-butane tetracarboxylate (commercially available from Fairmount), (16) N-p-ethoxycarbonylphenyl)-N'-ethyl-N'-phenylformadine (commercially available from Givaudan), (17) 6-ethoxy-1,2-dihydro-2,2,4-trimethylquinoline (commercially available from Monsanto Chemicals), (18) 2,4,6-tris-(N-1,4-dimethylpentyl-4-phenylenediamino)-1,3,5-triazine (commercially available from Uniroyal), (19) 2-dodecyl-N-(2,2,6,6-

tetramethyl-4-piperidinyll succinimide (commercially available from Aldrich Chemical Co.), (20) N-(1-acetyl-2,2,6,6-tetramethyl-4-piperidinyll)-2-dodecyl succinimide (commercially available from Aldrich Chemical Co.), (21) [1,2,2,6,6-pentamethyl-4-piperidinyll/ $\beta,\beta\beta',\beta'$ -tetramethyl-3,9-(2,4,8,10-tetraoxo-spiro-(5,5)undecane)diethyl]-1,2,3,4-butane tetracarboxylate (commercially available from Fairmount), (22) [2,2,6,6-tetramethyl-4-piperidinyll)-1,2,3,4-butane tetracarboxylate (commercially available from Fairmount), (23) nickel dibutyl dithio carbamate (commercially available as UV-Chek AM-105 from Ferro), (24) 2-amino-2',5-dichlorobenzophenone (Aldrich 10,515-5), (25) 2'-amino-4',5'-dimethoxyacetophenone (Aldrich 32,922-3), (26) 2-benzyl-2-(dimethylamino)-4'-morpholino butyrophenone (Aldrich 40,564-7), (27) 4'-benzyloxy-2'-hydroxy-3'-methylacetophenone (Aldrich 29,884-0), (28) 4,4'-bis(diethylamino) benzophenone (Aldrich 16,032-6), (29) 5-chloro-2-hydroxy benzophenone (Aldrich C4,470-2), (30) 4'-piperazinoacetophenone (Aldrich 13,646-8), (31) 4'-piperidinoacetophenone (Aldrich 11,972-5), (32) 2-amino-5-chlorobenzophenone (Aldrich A4,556-4), and the like, as well as mixtures thereof. The UV absorber is present in the ink in any desired or effective amount, typically no less than about 0.25 percent by weight of the ink, and preferably no less than about 1 percent by weight of the ink, and typically no more than about 10 percent by weight of the ink, and preferably no more than about 5 percent by weight of the ink, although the amount can be outside of these ranges.

Other optional additives to the inks include biocides such as Dowicil 150, 200, and 75, benzoate salts, sorbate salts, and the like, present in an amount of from about 0.0001 to about 4 percent by

weight of the ink, and preferably from about 0.01 to about 2.0 percent by weight of the ink, pH controlling agents such as acids or bases, phosphate salts, carboxylates salts, sulfite salts, amine salts, and the like, present in an amount of from 0 to about 1 percent by weight of the ink, and preferably from about 0.01 to about 1 percent by weight of the ink, or the like.

The ink compositions of the present invention typically have melting points no lower than about 60°C, preferably no lower than about 70°C, and more preferably no lower than about 80°C, and typically have melting points no higher than about 140°C, preferably no higher than about 120°C, and more preferably no higher than about 100°C, although the melting point can be outside of these ranges.

The ink compositions of the present invention generally have melt viscosities at the jetting temperature (typically no lower than about 75°C, preferably no lower than about 100°C, and more preferably no lower than about 120°C, and typically no higher than about 180°C, preferably no higher than about 150°C, and more preferably no higher than about 130°C, although the jetting temperature can be outside of these ranges) typically of no more than about 25 centipoise, preferably no more than about 20 centipoise, and even more preferably no more than about 10 centipoise, and typically of no less than about 2 centipoise, preferably no less than about 5 centipoise, and even more preferably no less than about 7 centipoise, although the melt viscosity can be outside of these ranges. Since image hardness tend to drop with lower viscosities, it is preferred that the viscosity be as low as possible while still retaining the desired degree of image hardness.

Hardness is a property of solids and plastics that is defined by their solidity and firmness as measured by their resistance to indentation by an indenter of fixed shape and size under a static load. The hardness of images can be measured with a Digital-Pencil style Durometer, Model 211B-00 PTC, obtained from Pacific Transducer Corporation, using ASTM Standard specifications for resistance to penetration with a conical [30 degrees included angle] indenter and applying a 1 kilogram load. The hardness range for materials as measured with this instrument is from about 1 to about 100, the latter being the highest measurable value. It is believed that the images generated with the inks of the present invention, after cooling to ambient temperature (typically from about 20 to about 25°C, although ambient temperature can be outside of this range) will exhibit hardness values of at least about 70 or more.

The inks of the present invention typically undergo, upon heating, a change from a solid state to a liquid state in a period of less than about 100 milliseconds, preferably less than about 50 milliseconds, and more preferably less than about 10 milliseconds, although the time can be outside of these ranges. There is no necessary lower limit on this period of time for the inks; it is believed that practically achievable lower limits are around 5 milliseconds, although, if practically achievable, lower periods of time are acceptable.

The inks of the present invention typically exhibit acoustic-loss values of no more than about 100 decibels per millimeter, preferably no more than about 60 decibels per millimeter, and more preferably no more than about 40 decibels per millimeter, although the acoustic-loss value can be outside of these ranges. There is no

necessary lower limit on acoustic-loss value for the inks; it is believed that practically achievable lower limits are around 10 decibels per millimeter, although, if practically achievable, lower acoustic-loss values are acceptable. Acoustic-loss can be measured by placing a sample of the material to be measured between two transducers with the temperature set at about 150°C. The samples are allowed to equilibrate at 150°C for five minutes. The two transducers are then brought together to maximize the acoustic signal. The amplitude and the position of the signals are recorded. The two transducers are then separated by a distance varying from about 25.4 microns to about 125.4 microns, recording each time the amplitude and the position of the signal. Preferably, each measurement is performed three times, and three samples of the same material are measured. The attenuation decibels per millimeter is then calculated by ratioing the amplitude values obtained at different separation distances.

The inks of the present invention typically exhibit a conductivity of no less than about 2 log(picomho/cm), preferably no less than about 6 log(picomho/cm), more preferably no less than about 6.5 log(picomho/cm), and even more preferably no less than about 7 log(picomho/cm), although the conductivity can be outside of these ranges. While there is no upper limit on conductivity, typical conductivity values generally do not exceed about 9 log(picomho/cm). Conductivity can be measured under melt conditions (typically at about 150°C) by placing an aluminum electrode in the molten ink and reading the resistivity output on a GenRad 1689 precision RLC Digibridge at a frequency of 1 kiloHertz).

The conductivity of the material is measured in terms of the reciprocal of resistivity, which is the capacity for electrical resistance.

The inks of the present invention exhibit substantial transparency. The images generated with the inks typically exhibit haze values of no more than about 25, preferably no more than about 10, and preferably no more than about 5, although the haze value can be outside of these ranges. There is no required lower limit on haze values. Haze values can be measured on images printed with the ink on uncoated polyester, such as MYLAR®, with a Haze meter XL-211, HAZEGARD® System obtained from Pacific Scientific Company.

The inks of the present invention generate images with desirable crease resistance. The images generated with the inks typically exhibit crease values of no more than about 0.6 millimeters, preferably no more than about 0.2 millimeters, and more preferably no more than about 0.1 millimeters, although the crease value can be outside of these ranges. There is no lower limit on crease values; ideally, this value is zero. The average width of the creased image can be measured by printing an image on paper, followed by (a) folding inwards the printed area of the image, (b) passing over the folded image a standard TEFLON® coated copper roll 2 inches in width, 3 inches in outer diameter, 2.25 inches in inner diameter, and weighing 860 grams, (c) unfolding the paper and wiping the loose ink from the creased imaged surface with a cotton swab, and (d) measuring the average width of the ink free creased area with an image analyzer. The crease value can also be reported in terms of area, especially when the image is sufficiently hard to break unevenly on creasing. Measured in terms of area, crease values of 60 millimeters correspond

to about 0.6, crease values of 40 millimeters correspond to about 0.4, crease values of 10 millimeters correspond to about 0.1, and the like.

The ink compositions of the present invention can be prepared by any desired or suitable method. For example, the ink ingredients can be mixed together, followed by heating, typically to a temperature of from about 100 to about 140°C, although the temperature can be outside of this range, and stirring until a homogeneous ink composition is obtained, followed by cooling the ink to ambient temperature (typically from about 20 to about 25°C). The inks of the present invention are solid at ambient temperature.

The present invention is also directed to a process which entails incorporating an ink of the present invention into an ink jet printing apparatus, melting the ink, and causing droplets of the melted ink to be ejected in an imagewise pattern onto a recording sheet. In one preferred embodiment, the printing apparatus employs an acoustic ink jet process, wherein droplets of the ink are caused to be ejected in imagewise pattern by acoustic beams. In a particularly preferred embodiment, the printing apparatus employs an acoustic ink jet printing process wherein droplets of the ink are formed by acoustic beams without imparting a substantial velocity component toward the print medium, using a droplet forming force that is sufficient only to form the ink droplets, and the printing process further comprises generating an electric field to exert an electrical force different from the droplet forming force on the ink droplets to move the ink droplets toward the print medium, and controlling the electrical force exerted on the formed complete ink droplets by the electric field.

Any suitable substrate or recording sheet can be employed, including plain papers such as Xerox® 4024 papers, Xerox® Image Series papers, Courtland 4024 DP paper, ruled notebook paper, bond paper, silica coated papers such as Sharp Company silica coated paper, JuJo paper, and the like, transparency materials, fabrics, textile products, plastics, polymeric films, inorganic substrates such as metals and wood, and the like. In a preferred embodiment, the process entails printing onto a porous or ink absorbent substrate, such as plain paper.

10 The inks of the present invention are particularly suitable for printing processes wherein the printing substrate, such as paper, transparency material, or the like, is heated during the printing process. When transparency substrates are employed, temperatures typically are no higher than from about 100 to about 110°C, since the polyester commonly employed as the base sheet in transparency sheets tends to deform at higher temperatures. Specially formulated transparencies and paper substrates can, however, tolerate higher temperatures, and frequently are suitable for exposure to temperatures of 150°C or even 200°C in some instances. Typical heating temperatures are from about 20 40 to about 140°C, and preferably from about 60 to about 95°C, although the temperature can be outside of these ranges.

Specific embodiments of the invention will now be described in detail. These examples are intended to be illustrative, and 25 the invention is not limited to the materials, conditions, or process parameters set forth in these embodiments. All parts and percentages are by weight unless otherwise indicated.

Acoustic-loss measurements in the Examples were measured by placing samples of the materials between the two transducers with the temperature set at 150°C. The samples were allowed to equilibrate at 150°C for five minutes. The two transducers were then brought together to maximize the acoustic signal. The amplitude and the position of the signals were recorded. The two transducers were then separated by a distance varying from 25.4 microns to 125.4 microns, recording each time the amplitude and the position of the signal. Each measurement was performed three times, and three samples of each material were measured. The attenuation decibels per millimeter was then calculated by ratioing the amplitude values obtained at different separation distances.

Optical density values in the Examples were obtained on a Pacific Spectrograph Color System. The system consists of two major components, an optical sensor and a data terminal. The optical sensor employs a 6 inch integrating sphere to provide diffuse illumination and 8 degrees viewing. This sensor can be used to measure both transmission and reflectance samples. When reflectance samples are measured, a specular component may be included. A high resolution, full dispersion, grating monochromator was used to scan the spectrum from 380 to 720 nanometers. The data terminal features a 12 inch CRT display, numeric keyboard for selection of operating parameters and the entry of tristimulus values, and an alphanumeric keyboard for entry of product standard information.

Lightfast values in the Examples were measured in a Mark V Lightfast Tester, obtained from Microscal Company, London, England.

Waterfast values in the Examples were obtained from the optical density data recorded before and after washing the images with water at 25°C for five minutes.

5 Viscosity values in the Examples were measured at 150°C with a Stress Rheometer, obtained from Cari-Med, Model CSL 100. All experiments were performed at a shear rate of 1250 s⁻¹.

Conductivity values in the Examples were measured under melt conditions at 150°C by placing an aluminum electrode in the melt and reading the resistivity output on a GenRad 1689 precision RLC
10 Digibridge at a frequency of 1 kiloHertz. Conductivity was calculated from the resistivity data.

Crease values in the Examples were measured on solid area images printed on paper by (a) folding inwards the printed area of the image, (b) passing over the folded image a standard TEFLON®
15 coated copper roll 2 inches in width, 3 inches in outer diameter, 2.25 inches in inner diameter, and weighing 860 grams, (c) unfolding the paper and wiping the loose ink from the creased imaged surface with a cotton swab, and (d) measuring the average width of the ink free creased area with an image analyzer.

20 Haze values in the Examples were measured on images printed on uncoated polyester (such as MYLAR®) with a Haze meter XL-211, HAZEGARD® System, obtained from Pacific Scientific Company.

The spherulite sizes in the Examples were measured with an optical microscope with cross polarized light.

25 The hardness values in the Examples were measured with a Digital-Pencil style Durometer, Model 211B-00 PTC, obtained from Pacific Transducer Corporation, using ASTM Standard specifications for

resistance to penetration with a conical [30 degrees included angle] indenter and applying a 1 kilogram load. The hardness range for materials as measured with this instrument is from about 1 to about 100, the latter being the highest measurable value.

- 5 The gloss values in the Examples were obtained on a 75° Glossmeter, Glossgard II, obtained from Pacific Scientific (Gardner/Neotec Instrument Division).

EXAMPLE I

- 10 A black phase-change ink was prepared by mixing 15 percent by weight poly ((o-cresyl glycidyl ether)-co-formaldehyde) (aldehyde copolymer ink vehicle; $M_n=870$; melting point 70-75°C; Aldrich 40,551-5; hardness value 92), 45 percent by weight 2,6-dimethoxybenzaldehyde (viscosity modifier; Aldrich 29,250-8; acoustic-
- 15 loss value 25 decibels per millimeter; melting point 98°C), 30 percent by weight conductivity enhancing agent (conductivity 7.2 (log(picomho/cm)); conductive complex comprised 50 percent by weight 4,4'-methylene bis (2,6-dimethylaniline) (melting point 122°C; Aldrich 36,079-1) and 50 percent by weight phenyl phosphinic acid
- 20 (melting point 86°C; Aldrich P2,880-8)), 5 percent by weight tetrakis (2,4-di-tert-butyl phenyl)-4,4'-biphenyl diphosphonite (antioxidant; Aldrich 46,852-5; hardness value 90), and 5 percent by weight Neozapon Black X51 dye (C.I. Solvent Black; C.I. 12195; obtained from BASF). The mixture
- 25 was heated to a temperature of about 140°C, stirred for a period of about 60 minutes until it formed a homogeneous solution, and subsequently cooled to 25°C. This black ink exhibited an acoustic-loss value of 65 decibels per millimeter, a viscosity of 10.8 centipoise at

150°C, and a conductivity of 6.8 log(picomho/cm) at 150°C, and when applied to paper generated images with a hardness value of 83.5 at 23°C.

5

EXAMPLE II

A blue phase-change ink was prepared by mixing 15 percent by weight poly ((o-cresyl glycidyl ether)-co-formaldehyde) (aldehyde copolymer ink vehicle; $M_n=870$; melting point 70-75°C; Aldrich 40,551-5; hardness value 92), 45 percent by weight 4-hydroxy-3-methoxy benzaldehyde (viscosity modifier; Aldrich V,110-4; hardness value 74.5; acoustic-loss value 29 decibels per millimeter; melting point 83°C), 30 percent by weight conductivity enhancing agent (conductivity 7.2 (log(picomho/cm))); conductive complex comprised 50 percent by weight 4,4'-methylene bis (2,6-dimethylaniline) (melting point 122°C; Aldrich 36,079-1) and 50 percent by weight phenyl phosphinic acid (melting point 86°C; Aldrich P2,880-8), 5 percent by weight tetrakis (2,4-di-tert-butyl phenyl)-4,4'-biphenyl diphosphonite (antioxidant; Aldrich 46,852-5; hardness value 90), and 5 percent by weight Sudan Blue 670 dye (C.I. 61554; obtained from BASF). The mixture was heated to a temperature of about 140°C, stirred for a period of about 60 minutes until it formed a homogeneous solution, and subsequently cooled to 25°C. This blue ink exhibited an acoustic-loss value of 67 decibels per millimeter, a viscosity of 10.2 centipoise at 150°C, and a conductivity of 6.7 log(picomho/cm) at 150°C, and when applied to paper generated images with a hardness value of 83 at 23°C.

EXAMPLE III

A yellow phase-change ink was prepared by mixing 15 percent by weight ((o-cresyl glycidyl ether)-co-formaldehyde) (aldehyde copolymer ink vehicle; $M_n=870$; melting point 70-75°C; Aldrich 40,551-5; hardness value 92), 45 percent by weight 4-acetoxy-3,5-dimethoxy benzaldehyde (viscosity modifier; Aldrich 38,774-6; hardness value 79.5; acoustic-loss value 27 decibels per millimeter; melting point 116°C), 30 percent by weight conductivity enhancing agent (conductivity 7.2 (log(picomho/cm))); conductive complex comprised 50 percent by weight 4,4'-methylene bis (2,6-dimethylaniline) (melting point 122°C; Aldrich 36,079-1) and 50 percent by weight phenyl phosphinic acid (melting point 86°C; Aldrich P2,880-8)), 5 percent by weight tetrakis (2,4-di-tert-butyl phenyl)-4,4'-biphenyl diphosponite (antioxidant; Aldrich 46,852-5; hardness value 90), and 5 percent by weight Sudan Yellow 146 dye (C.I. 12700; obtained from BASF). The mixture was heated to a temperature of about 140°C, stirred for a period of about 60 minutes until it formed a homogeneous solution, and subsequently cooled to 25°C. This yellow ink exhibited an acoustic-loss value of 73 decibels per millimeter, a viscosity of 10.1 centipoise at 150°C, and a conductivity of 6.7 log(picomho/cm) at 150°C, and when applied to paper generated images with a hardness value of 82 at 23°C.

EXAMPLE IV

A magenta phase-change ink was prepared by mixing 15 percent by weight poly ((o-cresyl glycidyl ether)-co-formaldehyde) (aldehyde copolymer ink vehicle; $M_n=870$; melting point 70-75°C;

5 Aldrich 40,551-5; hardness value 92), 45 percent by weight terephthal
dicarboxaldehyde (viscosity modifier; Aldrich T220-7; hardness value 73;
acoustic-loss value 32 decibels per millimeter; melting point 115°C), 30
percent by weight conductivity enhancing agent (conductivity 7.2
10 (log(picomho/cm)); conductive complex comprised 50 percent by
weight 4,4'-methylene bis (2,6-dimethylaniline) (melting point 122°C;
Aldrich 36,079-1) and 50 percent by weight phenyl phosphinic acid
(melting point 86°C; Aldrich P2,880-8)), 5 percent by weight tetrakis (2,4-
di-tert-butyl phenyl)-4,4'-biphenyl diphosphonite (antioxidant; Aldrich
15 46,852-5; hardness value 90), and 5 percent by weight Sudan Red 462
dye (C.I. 26050; obtained from BASF). The mixture was heated to a
temperature of about 140°C, stirred for a period of about 60 minutes until
it formed a homogeneous solution, and subsequently cooled to 25°C.
This red ink exhibited an acoustic-loss value of 72 decibels per millimeter,
15 a viscosity of 10.5 centipoise at 150°C, and a conductivity of 6.7
log(picomho/cm) at 150°C, and when applied to paper generated
images with a hardness value of 81 at 23°C.

EXAMPLE V

20 Each of the inks prepared as described in Examples I
through IV was incorporated into an acoustic ink jet printing test fixture
utilizing the ejection mechanism disclosed in *J. Appl. Phys.*, 65(9), 1 May
1989, and references therein, the disclosures of each of which are
totally incorporated herein by reference. A jetting frequency of 160
25 MHz was used to generate drops of about 2 picoliters, up to 12 drops
per pixel at 600 spi. The images formed on paper exhibited excellent
color quality, optical density values of 2.25 (black), 1.75 (cyan), 2.05

(magenta), and 1.45 (yellow), sharp edges, and waterfastness and lightfastness values of greater than 95%. The images obtained with these conductive inks on paper were folded and creased. The crease values (measured in terms of area and subsequently converted to millimeters) were 0.08 (black), 0.07 (cyan), 0.06 (magenta), and 0.04 (yellow). The gloss values for the images were 83 (black), 80 (magenta), 81 (cyan), and 78 (yellow). The ink spherulite radius was measured at 1 to 2.5 microns, leading to haze values of 8 to 14 when printed on transparency substrates.

10

EXAMPLE VI

A black phase-change ink was prepared by mixing 15 percent by weight poly ((phenyl glycidyl ether)-co-formaldehyde) (aldehyde copolymer ink vehicle; $M_n=570$; Aldrich 40,676-7), 45 percent by weight 4-acetoxy-3-methoxy cinnamaldehyde (viscosity modifier; Aldrich 42,754-3; hardness value 75; acoustic-loss value 25 decibels per millimeter; melting point 100°C), 30 percent by weight conductivity enhancing agent (conductivity 7.1 (log(picomho/cm)); conductive complex comprised 50 percent by weight 4,4'-methylene bis (3-chloro-2,6-diethylaniline) (melting point 90°C; Aldrich 42,660-1) and 50 percent by weight dimethylphosphinic acid (melting point 89°C; Aldrich 32,829-4)), 5 percent by weight tetrakis (2,4-di-tert-butyl phenyl)-4,4'-biphenyl diphosphonite (antioxidant; Aldrich 46,852-5; hardness value 90), and 5 percent by weight Neozapon Black X51 dye (C.I. Solvent Black; C.I. 12195; obtained from BASF). The mixture was heated to a temperature of about 140°C, stirred for a period of about 60 minutes until it formed a homogeneous solution, and subsequently cooled to 25°C. This black ink

exhibited an acoustic-loss value of 59 decibels per millimeter, a viscosity of 11.5 centipoise at 150°C, and a conductivity of 6.5 log(picomho/cm) at 150°C, and when applied to paper generated images with a hardness value of 83 at 23°C.

5

EXAMPLE VII

A blue phase-change ink was prepared by mixing 15 percent by weight poly ((phenyl glycidyl ether)-co-formaldehyde) (aldehyde copolymer ink vehicle; $M_n=570$; Aldrich 40,676-7), 45 percent
10 by weight 2,6-dimethoxybenzaldehyde (viscosity modifier; Aldrich 29,250-8; acoustic-loss value 26 decibels per millimeter; melting point 98°C), 30 percent by weight conductivity enhancing agent (conductivity 7.1 (log(picomho/cm))); conductive complex comprised 50 percent by weight 4,4'-methylene bis (3-chloro-2,6-diethylaniline) (melting point
15 90°C; Aldrich 42,660-1) and 50 percent by weight dimethylphosphinic acid (melting point 89°C; Aldrich 32,829-4), 5 percent by weight tetrakis (2,4-di-tert-butyl phenyl)-4,4'-biphenyl diphosphonite (antioxidant; Aldrich 46,852-5; hardness value 90), and 5 percent by weight Sudan Blue 670 dye (C.I. 61554; obtained from BASF). The mixture was heated to a
20 temperature of about 140°C, stirred for a period of about 60 minutes until it formed a homogeneous solution, and subsequently cooled to 25°C. This blue ink exhibited an acoustic-loss value of 68 decibels per millimeter, a viscosity of 10.4 centipoise at 150°C, and a conductivity of 6.7 log(picomho/cm) at 150°C, and when applied to paper generated
25 images with a hardness value of 83 at 23°C.

EXAMPLE VIII

A yellow phase-change ink was prepared by mixing 15 percent by weight poly ((phenyl glycidyl ether)-co-formaldehyde) (aldehyde copolymer ink vehicle; $M_n=570$; Aldrich 40,676-7), 45 percent by weight 4-acetoxy-3,5-dimethoxy benzaldehyde (viscosity modifier; Aldrich 38,774-6; hardness value 79.5; acoustic-loss value 27 decibels per millimeter; melting point 116°C), 30 percent by weight conductivity enhancing agent (conductivity 7.1 (log(picomho/cm))); conductive complex comprised 50 percent by weight 4,4'-methylene bis (3-chloro-2,6-diethylaniline) (melting point 90°C; Aldrich 42,660-1) and 50 percent by weight dimethylphosphinic acid (melting point 89°C; Aldrich 32,829-4)), 5 percent by weight tetrakis (2,4-di-tert-butyl phenyl)-4,4'-biphenyl diphosphonite (antioxidant; Aldrich 46,852-5; hardness value 90), and 5 percent by weight Sudan Yellow 146 dye (C.I. 12700; obtained from BASF). The mixture was heated to a temperature of about 140°C, stirred for a period of about 60 minutes until it formed a homogeneous solution, and subsequently cooled to 25°C. This yellow ink exhibited an acoustic-loss value of 71 decibels per millimeter, a viscosity of 10.5 centipoise at 150°C, and a conductivity of 6.7 log(picomho/cm) at 150°C, and when applied to paper generated images with a hardness value of 82 at 23°C.

EXAMPLE IX

A magenta phase-change ink was prepared by mixing 15 percent by weight poly ((phenyl glycidyl ether)-co-formaldehyde) (aldehyde copolymer ink vehicle; $M_n=570$; Aldrich 40,676-7), 45 percent by weight terephthal dicarboxaldehyde (viscosity modifier; Aldrich

T220-7; hardness value 73; acoustic-loss value 32 decibels per millimeter; melting point 115°C), 30 percent by weight conductivity enhancing agent (conductivity 7.1 (log(picomho/cm))); conductive complex comprised 50 percent by weight 4,4'-methylene bis (3-chloro-2,6-diethylaniline) (melting point 90°C; Aldrich 42,660-1) and 50 percent by weight dimethylphosphinic acid (melting point 89°C; Aldrich 32,829-4)), 5 percent by weight tetrakis (2,4-di-tert-butyl phenyl)-4,4'-biphenyl diphosphonite (antioxidant; Aldrich 46,852-5; hardness value 90), and 5 percent by weight Sudan Red 462 dye (C.I. 26050; obtained from BASF).

10 The mixture was heated to a temperature of about 140°C, stirred for a period of about 60 minutes until it formed a homogeneous solution, and subsequently cooled to 25°C. This red ink exhibited an acoustic-loss value of 72 decibels per millimeter, a viscosity of 10.5 centipoise at 150°C, and a conductivity of 6.7 log(picomho/cm) at 150°C, and when

15 applied to paper generated images with a hardness value of 81 at 23°C.

EXAMPLE X

Each of the inks prepared as described in Examples VI

20 through IX was incorporated into an acoustic ink jet printing test fixture and tested as described in Example V. The images formed on paper exhibited excellent color quality, optical density values of 2.25 (black), 1.75 (cyan), 2.05 (magenta), and 1.45 (yellow), sharp edges, and lightfastness and waterfastness values of greater than 95%. The images

25 obtained with these conductive inks on paper were folded and creased. The crease values (measured in terms of area and subsequently converted to millimeters) were 0.08 (black), 0.07 (cyan),

WHAT IS CLAIMED IS:

1. An ink composition comprising (a) an aldehyde copolymer ink vehicle, (b) a nonpolymeric aldehyde viscosity modifier, (c) a colorant, (d) an optional conductivity enhancing agent, (e) an optional antioxidant, and (f) an optional UV absorber.

2. An ink composition according to claim 1 wherein the ink has a melting point of no lower than about 60°C and no higher than about 140°C.

3. An ink composition according to claim 1 wherein the ink has a melt viscosity at jetting temperature of no higher than about 25 centipoise.

4. An ink composition according to claim 1 wherein the ink undergoes, upon heating, a change from a solid state to a liquid state in a period of no more than about 100 milliseconds.

5. An ink composition according to claim 1 wherein the ink exhibits an acoustic-loss value of no more than about 100 decibels per millimeter.

6. An ink composition according to claim 1 wherein the ink exhibits a conductivity of no less than about $6 \log(\text{picomho/cm})$.

7. An ink composition according to claim 1 wherein images generated with the ink exhibit a haze value of no more than about 25.

8. An ink composition according to claim 1 wherein the aldehyde copolymer ink vehicle is poly ((phenyl glycidyl ether)-co-formaldehyde), poly ((o-cresyl glycidyl ether)-co-formaldehyde), poly (p-toluenesulfonamide-co-formaldehyde), or mixtures thereof.

9. An ink composition according to claim 1 wherein the ink vehicle is present in the ink in an amount of no less than about 1 percent by weight of the ink and no more than about 25 percent by weight of the ink.

10. An ink composition according to claim 1 wherein the nonpolymeric aldehyde viscosity modifier is 3-hydroxy benzaldehyde, 4-hydroxy benzaldehyde, 4-benzyloxy benzaldehyde, 2-carboxy benzaldehyde, 4-nitro benzaldehyde, 2,3-dihydroxy benzaldehyde, 2,5-dihydroxy benzaldehyde, 3-hydroxy-4-methoxy benzaldehyde, 4-hydroxy-3-methoxy benzaldehyde, 4-hydroxy-3-ethoxy benzaldehyde, 4-hydroxy-3-methyl benzaldehyde, 2-hydroxy-5-nitrobenzaldehyde, 3-hydroxy-4-nitrobenzaldehyde, 4-hydroxy-3-nitrobenzaldehyde, 3,4-dibenzyloxy benzaldehyde, 3,5-dibenzyloxy benzaldehyde, 4-acetoxy-3,5-dimethoxy benzaldehyde, 2-amino-3,5-dibromo benzaldehyde, 2-benzyloxy-4,5-dimethoxy benzaldehyde, 5-bromo-2-hydroxy-3-methoxy benzaldehyde, 4-hydroxy-3,5-dimethoxy benzaldehyde, 2,3,5-trichlorobenzaldehyde, 2,3,6-trichlorobenzaldehyde, 2,4,5-trimethoxy benzaldehyde, 2,4,6-trimethoxy benzaldehyde, 3,5-dichloro-2-hydroxybenzaldehyde, 3,5-dibromo-2-hydroxybenzaldehyde, 3,5-diiodo-2-hydroxybenzaldehyde, 3,4-dihydroxy-5-methoxy benzaldehyde, 3,5-dimethyl-4-hydroxy benzaldehyde, 2,6-dimethoxybenzaldehyde, 2-nitro cinnamaldehyde, 4-(diethylamino) cinnamaldehyde, 4-acetoxy-3-methoxy cinnamaldehyde, 4-hydroxy-3-methoxy cinnamaldehyde, 2-hydroxy-1-naphthaldehyde, 2-methoxy-1-naphthaldehyde, 9-anthraldehyde, 5-bromo-2-furaldehyde, 5-nitro-2-thiophene carboxaldehyde, 9-ethyl-3-carbazole carboxaldehyde, 4-stillbene carboxaldehyde, 2-hydroxy-5-methyl-1,3-benzene dicarboxaldehyde, terephthal dicarboxaldehyde, 2-(diphenylphosphino) benzaldehyde, 1-(phenylsulfonyl)-2-pyrrolecarboxaldehyde, 1-pyrene carboxaldehyde, phenanthrene carboxaldehyde, 2-fluorene carboxaldehyde, or mixtures thereof.

11. An ink composition according to claim 1 wherein the viscosity modifier is present in the ink in an amount of no less than about 5 percent by weight of the ink and no more than about 95 percent by weight of the ink.

12. An ink composition according to claim 1 wherein the colorant is a dye.

13. An ink composition according to claim 1 wherein the colorant is a pigment.

14. An ink composition according to claim 1 containing a conductivity enhancing agent which is a complex of a dianiline and a phosphorus-containing acid.

15. An ink composition according to claim 1 containing a conductivity enhancing agent which is a complex of (a) a material which is 2,2'-dithio dianiline, 4,4'-dithiodianiline, 3,3'-methylene dianiline, 4,4'-methylene dianiline, N-methyl-4,4'-methylene dianiline, 4,4'-methylene bis(2,6-diethyl aniline), 4,4'-methylene bis(2,6-diisopropyl-N,N-dimethylaniline), 4,4'-methylene bis (N,N-dimethylaniline), 4,4'-methylene bis (2,6-dimethylaniline), 4,4'-methylene bis (3-chloro-2,6-diethylaniline), 3,3'-(sulfonyl bis(4,1-phenylene))dianiline, 4,4'-(1,3-phenylene diisopropylidene) bisaniline, or mixtures thereof, and (b) a material which is phenylphosphinic acid, dimethylphosphinic acid, methyl phosphonic acid, or mixtures thereof.

16. An ink composition according to claim 1 containing a conductivity enhancing agent in an amount of no less than about 2 percent by weight of the ink and no more than about 50 percent by weight of the ink.

17. An ink composition according to claim 1 containing an antioxidant in an amount of no less than about 0.25 percent by weight of the ink and no more than about 10 percent by weight of the ink.

18. A printing process which comprises incorporating an ink according to claim 1 into an ink jet printing apparatus, melting the ink, and causing droplets of the melted ink to be ejected in an imagewise pattern onto a recording sheet.

19. A process according to claim 18 wherein the printing apparatus employs an acoustic ink jet process, wherein droplets of the ink are caused to be ejected in imagewise pattern by acoustic beams.

20. A process according to claim 18 wherein the printing apparatus employs an acoustic ink jet printing process wherein droplets of the ink are formed by acoustic beams without imparting a substantial velocity component toward the print medium, using a droplet forming force that is sufficient only to form the ink droplets, and wherein the printing process further comprises generating an electric field to exert an electrical force different from the droplet forming force on the ink droplets to move the ink droplets toward the print medium, and controlling the electrical force exerted on the formed complete ink droplets by the electric field.

ABSTRACT OF THE DISCLOSURE

Disclosed is an ink composition comprising (a) an aldehyde copolymer ink vehicle, (b) a nonpolymeric aldehyde viscosity modifier, (c) a colorant, (d) an optional conductivity enhancing agent, (e) an optional antioxidant, and (f) an optional UV absorber.

Disclosed is an ink composition comprising (a) an aldehyde copolymer ink vehicle, (b) a nonpolymeric aldehyde viscosity modifier, (c) a colorant, (d) an optional conductivity enhancing agent, (e) an optional antioxidant, and (f) an optional UV absorber.

PATENT APPLICATION

Attorney Docket No. **D/99531**

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **HOT MELT INKS CONTAINING ALDEHYDE COPOLYMERS**

the specification and claims of which

☒ are attached hereto OR ☐ was filed on _____ as U.S. Application No. _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code, §119 of any foreign or U.S. Provisional application(s) for patent listed below, and have also identified below any foreign application(s) or Provisional application(s) for patent having a filing date before that of the application on which priority is claimed:

Prior Foreign or U.S. Provisional Application(s)

(Number) (Country) (Day/Month/Year Filed)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

| | | | |
|---------------------------|-------------------------|---------------------------|-------------------------|
| John E. Beck | Reg. No. 22,833; | Henry Fleischer | Reg. No. 25,582; |
| Ronald F. Chapuran | Reg. No. 26,402; | Mark Costello | Reg. No. 31,342; |
| Jonathan A. Small | Reg. No. 32,631; | Eugene O. Palazzo | Reg. No. 20,881 |
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

DECLARATION AND POWER OF ATTORNEY, continued

Name of sole or first inventor: Shadi L. Malhotra

Inventor's Signature: Shadi L. Malhotra Date: Aug 27, 1999.

Residence: 4191 Taffey Crescent, Mississauga, Ontario, Canada L5L 2A6

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